



## Evaluation of atlas-based segmentation of hippocampi in healthy humans

Roman Rodionov<sup>a,b,\*,1</sup>, Marie Chupin<sup>c,1</sup>, Elaine Williams<sup>b</sup>, Alexander Hammers<sup>a,d</sup>,  
Chandrasekharan Kesavadas<sup>e</sup>, Louis Lemieux<sup>a,b</sup>

<sup>a</sup>Department of Clinical and Experimental Epilepsy, UCL Institute of Neurology, Queen Square, WC1N 3BG London, United Kingdom

<sup>b</sup>MRI Unit, National Society for Epilepsy, Chalfont St Peter, SL9 0RJ Buckinghamshire, United Kingdom

<sup>c</sup>Cognitive Neuroscience and Brain Imaging, CNRS UPR640, UPMC Paris6, Paris, France

<sup>d</sup>Department of Clinical Neuroscience, Division of Neuroscience and Mental Health, and MRC Clinical Sciences Centre, Imperial College London, W12 0NN London, United Kingdom

<sup>e</sup>Sree Chitra Tirunal Institute for Medical Sciences and Technology, Trivandrum, 695011 India

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### Abstract

**Introduction and aim:** Region of interest (ROI)-based functional magnetic resonance imaging (fMRI) data analysis relies on extracting signals from a specific area which is presumed to be involved in the brain activity being studied. The hippocampus is of interest in many functional connectivity studies for example in epilepsy as it plays an important role in epileptogenesis. In this context, ROI may be defined using different techniques. Our study aims at evaluating the spatial correspondence of hippocampal ROIs obtained using three brain atlases with hippocampal ROI obtained using an automatic segmentation algorithm dedicated to the hippocampus.

**Material and methods:** High-resolution volumetric T1-weighted MR images of 18 healthy volunteers (five females) were acquired on a 3T scanner. Individual ROIs for both hippocampi of each subject were segmented from the MR images using an automatic hippocampus and amygdala segmentation software called SACHA providing the gold standard ROI for comparison with the atlas-derived results. For each subject, hippocampal ROIs were also obtained using three brain atlases: PickAtlas available as a commonly used software toolbox; automated anatomical labeling (AAL) atlas included as a subset of ROI into PickAtlas toolbox and a frequency-based brain atlas by Hammers et al. The levels of agreement between the SACHA results and those obtained using the atlases were assessed based on quantitative indices measuring volume differences and spatial overlap. The comparison was performed in standard Montreal Neurological Institute space, the registration being obtained with SPM5 (<http://www.fil.ion.ucl.ac.uk/spm/>).

**Results:** The mean volumetric error across all subjects was 73% for hippocampal ROIs derived from AAL atlas; 20% in case of ROIs derived from the Hammers atlas and 107% for ROIs derived from PickAtlas. The mean false-positive and false-negative classification rates were 60% and 10% respectively for the AAL atlas; 16% and 32% for the Hammers atlas and 6% and 72% for the PickAtlas.

**Conclusion:** Though atlas-based ROI definition may be convenient, the resulting ROIs may be poor representations of the hippocampus in some studies critical to under- or oversampling. Performance of the AAL atlas was inferior to that of the Hammers atlas. Hippocampal ROIs derived from PickAtlas are highly significantly smaller, and this results in the worst performance out of three atlases. It is advisable that the defined ROIs should be verified with knowledge of neuroanatomy before using it for further data analysis.

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**Keywords:** Region of interest; Hippocampus; Segmentation; Brain atlas

\* Corresponding author. Department of Clinical and Experimental Epilepsy, UCL Institute of Neurology, Queen Square, WC1N 3BG London, United Kingdom.

E-mail address: [r.rodionov@ion.ucl.ac.uk](mailto:r.rodionov@ion.ucl.ac.uk) (R. Rodionov).

<sup>1</sup> These two authors contributed equally.

### 1. Introduction

Functional connectivity as one of the methods of ROI-based analysis of functional magnetic resonance imaging (fMRI) data includes step of extracting blood oxygen level-dependent (BOLD) signal from a specified ROI [1–6]. Given the functional and anatomical parcellation of the brain, the shape of the ROI is very important for ensuring that the area of interest is fully covered and that voxels belonging to

neighbouring areas are excluded as they might be either from a different functional brain areas or from an area which can not produce BOLD signal (e.g., white matter or cerebrospinal fluid). Two most widely used ways of specifying ROI are (1) individually segmented ROI using manual segmentation performed by an expert neuroanatomist or by an automatic algorithm performed by a specific software, for example [7], and (2) atlas-based ROI. The brain atlases employed in fMRI studies are created in a standard space (Talairach or Montreal Neurological Institute [MNI]). The description of possible methodologies applied to build brain atlases can be found in [8]. Since there is a substantial variability in the macroscopic anatomy between individuals, the best practice is to define ROI for each subject based on their own anatomy. However, most studies use atlas-derived ROI, and hence, it becomes necessary to evaluate these ROI derived from atlases compared with those derived from individual anatomy.

To the best of our knowledge, there has been no study investigating sensitivity of the results of functional connectivity studies with respect to the shape and volume of the ROI used to sample brain areas of interest. Here, we investigate one aspect of this issue: the variance of the shape and volume of the hippocampal ROI derived from three brain atlases: a frequency-based brain atlas by Hammers et al. [9] and two more widely used, single-subject atlases: AAL [10] and Brodmann areas defined in the PickAtlas toolbox [11,12]. In this study, we used the extended version of the frequency atlas [9] based on manual delineations of 30 brains. The maximum probability map was obtained after coregistering all individual atlases into MNI space using the “Segment” module in SPM5 (<http://www.fil.ion.ucl.ac.uk/spm/>). We compare the atlas-derived ROIs with the results of the segmentation using an automatic algorithm, SACHA, implemented as part of the Brainvisa environment (<http://brainvisa.info>) [13].

Our interest to evaluate hippocampal ROI is explained by the importance of this structure in studies (especially ROI-based functional connectivity analysis of fMRI data) in patients with epilepsy and Alzheimer’s disease [14–18].

## 2. Materials and methods

### 2.1. Data

Eighteen healthy subjects (five females; mean age: 34.7 years; range, 25–56 years) were included. The criterion for inclusion into the study was absence of neurological pathology. All subjects gave written informed consent (Joint Ethics Committee of the National Hospital for Neurology and Neurosurgery and UCL Institute of Neurology).

High-resolution 3D T1-weighted Fast Spoiled Gradient Recalled MR images were acquired on a 3T General Electric Excite HD scanner using a standard head coil: TR/TE/TI 8/3.1/450 ms, flip angle 20°; 156 1.1mm-thick coronal slices; matrix 256×256; 24×18 cm field of view; scan time 7 min.

### 2.2. Data processing and analysis

Individual ROIs for both hippocampi of each subject were segmented from the MR images using SACHA. The comparison of the atlas-based ROIs and individual SACHA-derived ROIs (further called as “individual ROIs”) was performed in MNI space for two reasons: the fMRI analyses are often performed in MNI space; all three atlases are available in MNI space. SACHA ROIs were evaluated by a trained observer (E.W.) for ensuring their consistency as a gold standard. T1-weighted images were transformed to MNI space using nonlinear warping as implemented in SPM5 [19]. The resulting transformation parameters were applied to the images of individual ROIs in order to register them to MNI space (voxel size in the template image is 2×2×2 mm). The result of the registration was checked in the area of both hippocampi by visual evaluation by an expert-neuroanatomist (C.K.).

Using individual ROIs as the gold standard, the following volumetric and spatial correspondence measures were calculated as described in [20,21]: RV=the relative error on volume (the optimal value is 0%); K=Dice overlap index, quantifying the proportion of properly classified voxels (the optimal value is 100%) and FP and FN=the proportions (in % of total ROI volumes) of false-positive and false-negative voxels according to SACHA-based ROIs, respectively. In addition, the distance between the centre of the surface voxels of two ROIs is considered in three ways (indices measured in millimeters): the average symmetric distance on the whole boundary,  $D_m$ ; the maximum of the symmetric distance (Hausdorff distance),  $DM$  and 95 percentile of  $DM$ ,  $D_{95}$ . The formulas for the indices can be found in the Appendix A.

Two tailed *t* test has been performed to test difference between mean values of the calculated indices comparing performance of (1) different atlases and (2) performance of right and left ROI within each atlas.

## 3. Results

The results in the form of summary statistics of the calculated indices (mean, standard deviation [S.D.], minimum and maximum values) are summarised in the Table 1. Individual ROIs obtained for right hippocampus of Subject 8 overlaid over atlas-based ROIs are shown separately for each atlas on the same slices (Fig. 1).

The values of the index describing the averaged similarity of the shape of the individual and atlas-derived ROI ( $D_m$ , in mm) show better performance of the Hammers atlas [9]: for the right ROIs, AAL atlas 3.5 (S.D.=0.3), Hammers atlas 2.6 (S.D.=0.7), PickAtlas 3.5 (S.D.=0.4); for the left ROIs, AAL atlas 3.7 (S.D.=0.5), Hammers atlas 2.8 (S.D.=0.6), PickAtlas 3.6 (S.D.=0.9). However, the values of the index describing the extreme deviation of the shape of the individual and atlas-derived ROI ( $DM$ , in mm) show that the largest local error is to be found for Hammers atlas: for

Table 1  
Segmentation quality indices for the three atlases

ROI	Index	Hammers atlas	AAL atlas	PickAtlas
Right	$V_{\text{ref}}$ (cm <sup>3</sup> )	3.7±0.4 (3.1–4.3)	3.7±0.4 (3.1–4.3)	3.7±0.4 (3.1–4.3)
	$V$ (cm <sup>3</sup> )	3	7.6	1
	RV (%)	19.7±9.4 (2.6–35.9)	69.3±8.3 (54.4–84.1)	116±6.3 (104–126)
	K (%)	68.5±5.6 (56–74.7)	43.8±5.8 (29.7–53.3)	35.5±2.9 (27.7–40.2)
	FP (%)	16.4±3.5 (8.7–20.5)	58.1±3.2 (51.7–63.8)	4±1.5 (0.8–5.8)
	FN (%)	31.3±5.5 (21.8–43)	13.7±3.8 (9.7–25.3)	74.4±2.2 (70 – 78.6)
	Dm (mm)	2.6±0.7 (1.7–4.1)	3.5±0.3 (3–3.9)	3.5±0.4 (2.9–4.3)
	DM (mm)	15.3±2.1 (10.8–19.3)	12±2 (9.2–15.7)	10.9±1.7 (8.5–14)
	D95 (mm)	14.6±2 (10.2–18.2)	10.7±2 (8.5–14.7)	9.9±1.7 (7.5–12.2)
	Left	$V_{\text{ref}}$ (cm <sup>3</sup> )	3.3±0.4 (2.4–4)	3.3±0.4 (2.4–4)
$V$ (cm <sup>3</sup> )		2.7	7.5	1.1
RV (%)		21.4±10.5 (1.8–39.4)	77.4±11.1 (60.1–104)	98.4±10.4 (71.4–113)
K (%)		65.7±6.1 (49.9–74.1)	47.1±6 (33.1–55.2)	36.7±7 (13.3 – 43.6)
FP (%)		17.9±6.6 (9.1–34.8)	59.7±4.8 (51.7–70.7)	8.4±5.2 (2.3–20.4)
FN (%)		32.8±5.1 (23.1–39.2)	9.2±2.6 (4.1–16.2)	68.9±3.2 (61.1–73.2)
Dm (mm)		2.8±0.6 (1.6–4.5)	3.7±0.5 (3.1–4.6)	3.6±0.9 (2.7–6.5)
DM (mm)		16±2 (11.7–20.7)	11.9±1 (10–14.7)	11.3±2.2 (8.5–19)
D95 (mm)		15.3±2 (10.8–20.4)	10.6±1.1 (8.9–13.4)	10.3±2 (8–17.2)

$V_{\text{ref}}$  volume of the individual ROI;  $V$  volume of the ROIs from the atlases. The explanation of the indices is in the Appendix A. The index values averaged across all subjects are presented in the format: mean±standard deviation (minimum–maximum).

the right ROIs, AAL atlas 12 (S.D.=2), Hammers atlas 15.3 (S.D.=2), PickAtlas 11 (S.D.=1.7); for the left ROIs, AAL atlas 12 (S.D.=1), Hammers atlas 16 (S.D.=2), PickAtlas 11.3 (S.D.=2.2).

Both two tailed  $t$  test and nonparametric Wilcoxon rank test performed to reveal difference between mean values of the indices calculated for ROI from the three atlases showed highly significant ( $P<.001$ ) difference between mean values of the indices RV, K, FP and FN, both for right and left ROI. There is no significant ( $P<.001$ ) difference between mean values of the indices Dm, DM and D95 for AAL atlas and PickAtlas (both for right and left ROI), whereas significant ( $P<.001$ ) difference is revealed for Hammers atlas compared with both other atlases.

A significant difference ( $P<.01$ ) between mean values for right and left ROI has been observed in the following indices: FN index for AAL atlas; RV, FP, FN indices for PickAtlas. No such difference was observed for any index for the Hammers atlas.

#### 4. Discussion

Using T1-weighted brain images from eighteen healthy volunteers, we performed spatial comparison between individually segmented hippocampal ROIs (done using software SACHA and checked by an expert as suitable to be considered as gold standard) and ROI derived from three brain atlases: Hammers frequency-based atlas, AAL atlas and the Brodmann areas available in the PickAtlas toolbox.

The AAL atlas contains ROIs defined manually on the high-resolution MNI single-subject MRI brain template [10]. The PickAtlas [12] uses the MNI template for normalisation and probes the Talairach Daemon [11] across the entire

Talairach space (created from a single hemisphere of a single subject) to generate tables based on coordinate position. In contrast, the frequency-based atlas used in this work [9] was developed using multiple subjects in stereotaxic space. After manual segmentation in the individual space, the MRI volumes of the subjects were spatially normalised to T1-weighted MRI template in MNI/ICBM 152 space, as contained in the SPM5 package. This significant difference in the approaches applied to develop the three atlases chosen for this study along with differences in manual segmentation protocols used to define ROIs explain better volumetric correspondence of the individual ROIs and ROIs derived from the frequency-based atlas (indices RV, K, FP), although with a greater false-negative rate.

The three atlases chosen for our study rely on normalization of the individual brain to a stereotaxic space, a process that could contribute to the degradation of the segmentation results. Therefore, the high degree of spatial correspondence of the normalised individual T1-weighted images and MNI template in the area of both hippocampi was confirmed by an expert neuronatomist after visual evaluation, which should always form part of ROI-based fMRI data analyses.

When taking into account the results of the comparison of ROIs performed in this study in the context of an ROI-based analysis of fMRI data, it is necessary to note that the comparison was performed in the space of the T1-weighted images warped to the MNI space. The fMRI data has to be warped to the same space in order to use both individual and atlas-based ROIs. The uncertainty of warping fMRI data has to be considered when specifying the required precision for the definition of ROIs. For example, in the case of hippocampal ROIs, the fMRI data is prone to severe distortions in the area of interest causing increased uncertainty in the result

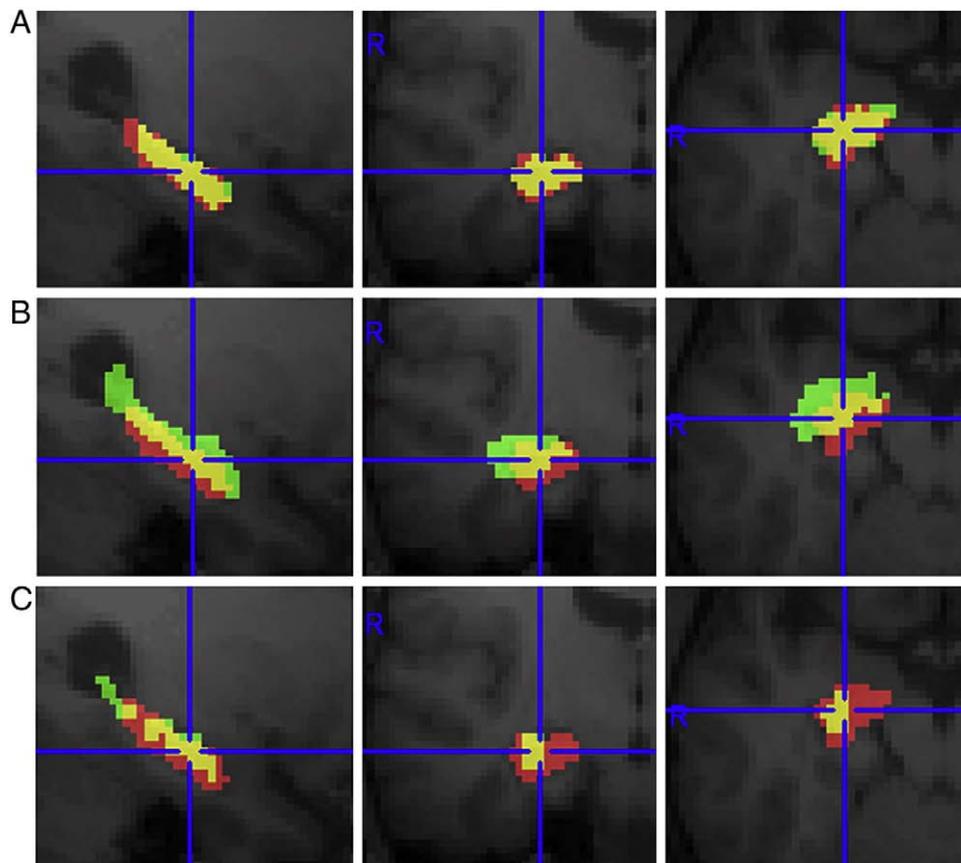


Fig. 1. Fragments of sagittal, coronal and axial projections of T1 weighted image showing individual ROI (subject 8) and ROI derived from frequency-based atlas (A), AAL atlas (B), PickAtlas (C). The same slices are shown in all three cases. The red colour indicates voxels covered only by individual ROI; green, voxels covered only ROI from one of the atlases; yellow, voxels in the area of overlap between the individual ROI and ROI from an atlas.

of warping which may have a greater influence on the quality of the results than ROI definition. In this context, it may appear that the frequency-based atlas used in our study provides hippocampal ROIs with accuracy which is satisfactory for most studies as the observed difference in comparison with individual ROI (indices RV, K, FP, FN, Dm) is of the same level of magnitude as the effect of uncertainties introduced while warping the fMRI data from individual to MNI space using up-to-date methods [22]. It is most likely that special investigation is necessary to conclude the same about hippocampal ROIs derived from PickAtlas and AAL atlas, as the accuracy of segmentation is very low (Table 1).

The low performance of Hammers atlas compared to other two atlases in DM and D95 indices is due to significant underestimation of the hippocampal tail which is detected by visual comparison of individual ROIs and ROIs derived from atlases.

The absence of the difference between mean values of the indices for right and left ROI observed in Hammers atlas [9] as opposed to two other atlases (see Results) is indicative of better performance for the Hammers atlas and may reflect the fact that AAL atlas and PickAtlas are single-brain atlases and, therefore, are more subject to this type of bias.

In spite of the extreme underestimation, the ROI from PickAtlas might be satisfactory for the studies in which overestimation has to be avoided; in fact, false-positive ratio is lower for PickAtlas than for the other two atlases.

PickAtlas uses nonlinear transformation [23] to convert coordinates between MNI and Talairach spaces [12]. More precise transformation was suggested recently [24], which suggests that the accuracy of ROIs generated by PickAtlas toolbox may be increased.

This study was performed using data obtained from healthy volunteers and the results cannot be extrapolated to cases with pathology or abnormal brains. However, one should generally assume that, in patients with hippocampal abnormalities (e.g., hippocampus sclerosis), the performance of any atlas will be much worse than in our study and that the levels of performance obtained here represent upper bounds. Therefore, using individual segmentation (either automated or manual) is advisable in pathological cases.

## 5. Future work

The sensitivity of functional connectivity estimates to ROI definition methods remains to be investigated. Our

results suggest that ROI definition methodology can have a drastic influence on fMRI studies of hippocampal activity, with even greater impact in pathological cases. This highlights the direction for further work.

## 6. Conclusions

The frequency-based atlas [9] demonstrates higher accuracy for hippocampal segmentation than AAL atlas and PickAtlas in healthy volunteers. We recommend that the inclusion of erroneously classified voxels and exclusion of erroneously unclassified voxels must be carefully evaluated and measures taken to minimise their impact on the sensitivity and specificity of the correlation studies (specifically ROI-based functional connectivity studies using fMRI data).

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## Appendix A

The segmentation quality indices compare the ROI from each atlas, *Seg*, with the standard ROI obtained by SACHA, *Ref*. Seven indices were used to quantify the accuracy of the method and facilitate comparison with published values [20,21].

RV is the relative error on volume; it expresses the difference in volume of segmented object  $O_{Seg}$  and reference object  $O_{Ref}$ , relatively to their average:

$$RV(O_{Seg}, O_{Ref}) = 2 \frac{|V_{O_{Seg}} - V_{O_{Ref}}|}{V_{O_{Seg}} + V_{O_{Ref}}}$$

The optimal value for this index, consistent with perfect agreement, is 0%.

Index K characterises overlap between  $O_{Seg}$  and  $O_{Ref}$ , describing the number of properly classified voxels, without taking into account the number of ill-classified voxels:

$$K(O_{Seg}, O_{Ref}) = 2 \frac{V_{O_{Seg} \cap O_{Ref}}}{V_{O_{Seg}} + V_{O_{Ref}}}$$

The optimal value is 100%.

The numbers of false positives, FP, and false negatives, FN, are computed here relatively to the number of voxels

labelled as  $O_{Seg}$  or  $O_{Ref}$ :

$$FP(O_{Seg}, O_{Ref}) = \frac{V_{O_{Seg}} - V_{O_{Seg} \cap O_{Ref}}}{V_{O_{Seg} \cap O_{Ref}}}$$

$$FN(O_{Seg}, O_{Ref}) = \frac{V_{O_{Ref}} - V_{O_{Seg} \cap O_{Ref}}}{V_{O_{Seg} \cup O_{Ref}}}$$

The local behaviour on the boundary can be characterised by its surface voxels (defined as the voxels of  $O$  with at least one 26-neighbour outside of  $O$ ). The distance between the centre of the surface voxels of  $O_{Seg}$  and those of  $O_{Ref}$  is considered in three ways. First, the average symmetric distance on the whole boundary,  $Dm$ , is computed:

$$Dm(O_{Seg}, O_{Ref}) = \max[h(O_{Seg}, O_{Ref}), h(O_{Ref}, O_{Seg})]$$

where

$$h(A, B) = \frac{1}{N_A} \sum_{a \in A} d(a, B)$$

Second, the maximum of the symmetric distance (Hausdorff distance),  $DM$ , is considered:

$$DM(O_{Seg}, O_{Ref}) = \max[H(O_{Seg}, O_{Ref}), H(O_{Ref}, O_{Seg})]$$

where

$$H(A, B) = \max_{a \in A} [d(a, B)]$$

with  $d$  the Euclidian distance.

The last index is used to discard sporadic errors, by considering the distance which explains the 95 percentile of  $DM$ , and it was called  $D95$ . All distances are expressed in millimeters.

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