

Surface-based Morphometry using SPHARM



Li Shen

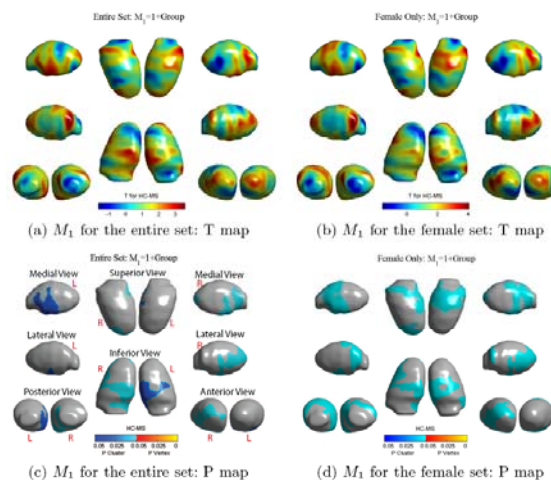
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Surface-based Morphometry

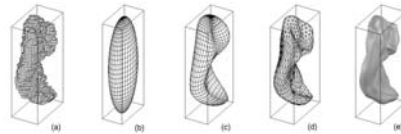
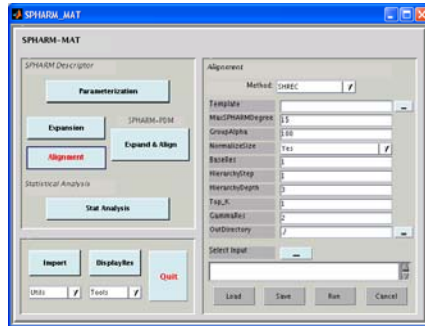
Thalamus
 shape in
 Multiple
 Sclerosis

Analyzed by
 SPHARM-
 MAT and
 SurfStat



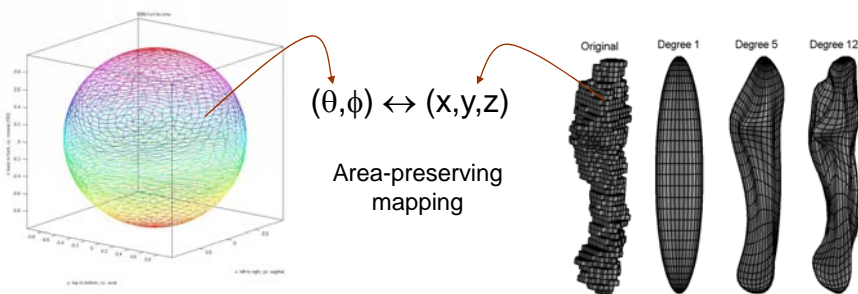
SPHARM-MAT for Surface Modeling

- NIBIB R03 Project
 - Shape analysis toolkit for neuroimaging studies
- Major components
 - Spherical parameterization
 - SPHARM expansion
 - SPHARM alignment
- Interface with other tools
 - SPHARM-PDM
 - SurfStat
 - 3D Slicer

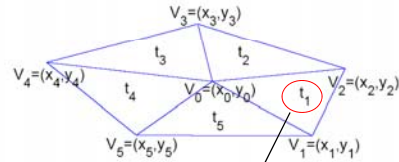
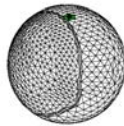
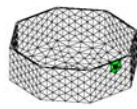


SPHARM Modeling

$$\mathbf{v}(\theta, \phi) = \sum_{l=0}^{\infty} \sum_{m=-l}^l \mathbf{c}_l^m Y_l^m(\theta, \phi) \quad \mathbf{v}(\theta, \phi) = \begin{pmatrix} x(\theta, \phi) \\ y(\theta, \phi) \\ z(\theta, \phi) \end{pmatrix} \quad \mathbf{c}_l^m = \begin{pmatrix} c_{xl}^m \\ c_{yl}^m \\ c_{zl}^m \end{pmatrix}$$



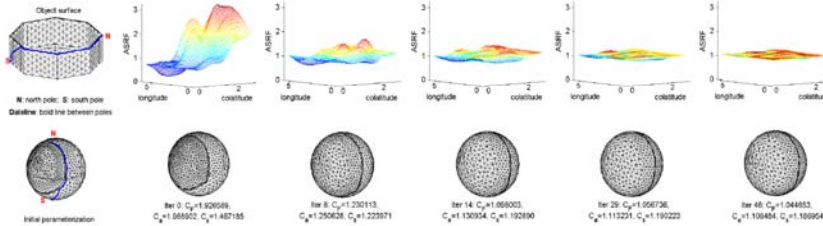
(1) Spherical Parameterization



$$\begin{aligned} (x_2 - x_1) \times (y - y_1) - (x - x_1) \times (y_2 - y_1) &= 2A_1 \\ (x_3 - x_2) \times (y - y_2) - (x - x_2) \times (y_3 - y_2) &= 2A_2 \\ (x_4 - x_3) \times (y - y_3) - (x - x_3) \times (y_4 - y_3) &= 2A_3 \\ (x_5 - x_4) \times (y - y_4) - (x - x_4) \times (y_5 - y_4) &= 2A_4 \\ (x_1 - x_5) \times (y - y_5) - (x - x_5) \times (y_1 - y_5) &= 2A_5 \end{aligned}$$

$$A = \frac{1}{2} \times \begin{vmatrix} x_0 & y_0 & 1 \\ x_1 & y_1 & 1 \\ x_2 & y_2 & 1 \end{vmatrix} = \frac{1}{2} ((x_2 - x_1) \times (y_0 - y_1) - (x_0 - x_1) \times (y_2 - y_1))$$

CALD Spherical Parameterization



Algorithm CALD spherical parameterization.

- 1: perform initial parameterization
- 2: perform n steps of local smoothing
- 3: **repeat**
 - 4: perform one step of global smoothing
 - 5: perform n steps local smoothing
- 6: **until** stop criterion is achieved

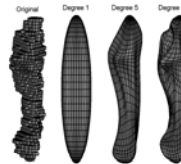
(2) SPHARM Expansion

- **SPHARM expansion:**

$$\mathbf{v}(\theta, \phi) = \sum_{l=0}^{\infty} \sum_{m=-l}^l \mathbf{c}_l^m Y_l^m(\theta, \phi), \quad \text{where } \mathbf{c}_l^m = (c_{lx}^m, c_{ly}^m, c_{lz}^m)^T.$$

- **Naïve Least Square Fitting (NLSF):**

$$\hat{f}(\theta, \phi) = \sum_{l=0}^{L_{max}} \sum_{m=-l}^l \hat{a}_l^m Y_l^m(\theta, \phi) \approx f(\theta, \phi).$$

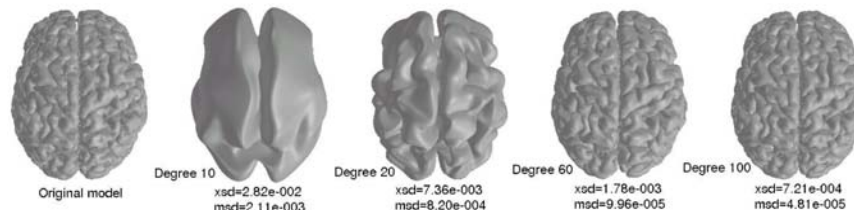


Not suitable for large values of L_{max} (max degree) and n (# of surface samples), since the problem is to **solve an $n \times (L_{max}+1)^2$ linear system**.

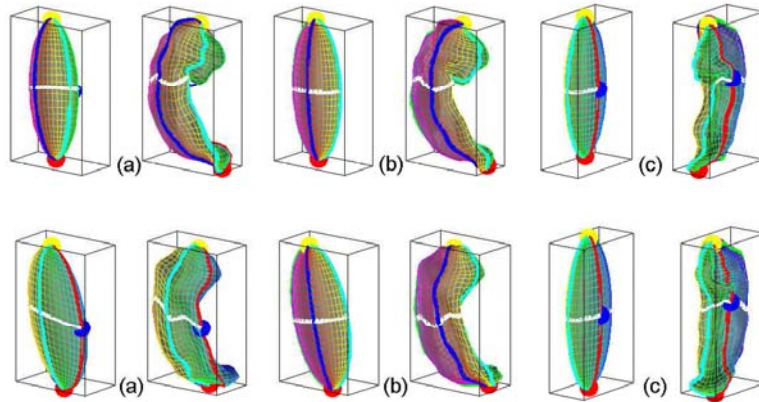
Iterative Residual Fitting (IRF)

- Since SHs form a coarse-to-fine hierarchy, we first extract low frequency components and then use the residual to get high frequency components.

1. Solve the linear system:
 $(A_0 \ A_1 \ \dots \ A_s) (\mathbf{b}_0^T \ \mathbf{b}_1^T \ \dots \ \mathbf{b}_s^T)^T = \mathbf{f}.$
2. Calculate the residual:
 $\mathbf{r} = \mathbf{f} - (A_0 \ A_1 \ \dots \ A_s) (\mathbf{b}_0^T \ \mathbf{b}_1^T \ \dots \ \mathbf{b}_s^T)^T.$
3. Iteratively fit the residual:
for $(l = s + 1; l \leq L_{max}; l++)$ **do**
 solve for $A_l \mathbf{b}_l = \mathbf{r}$
 update residual $\mathbf{r} = \mathbf{r} - A_l \mathbf{b}_l$
4. Return the spherical harmonic model \mathbf{m} :
 $\mathbf{m} \equiv (\mathbf{b}_0^T \ \mathbf{b}_1^T \ \dots \ \mathbf{b}_{L_{max}}^T)^T.$

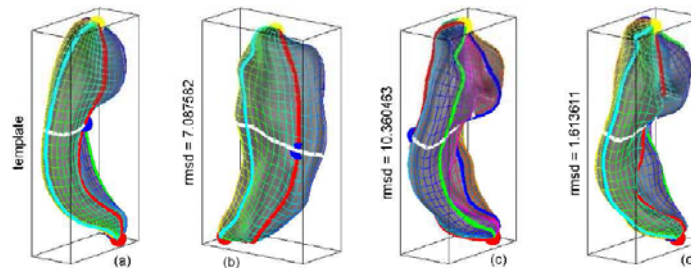


(3) SPHARM Registration



(a) Original objects (b) aligned parameterizations (c) aligned objects
Works only if FOE is a real ellipsoid

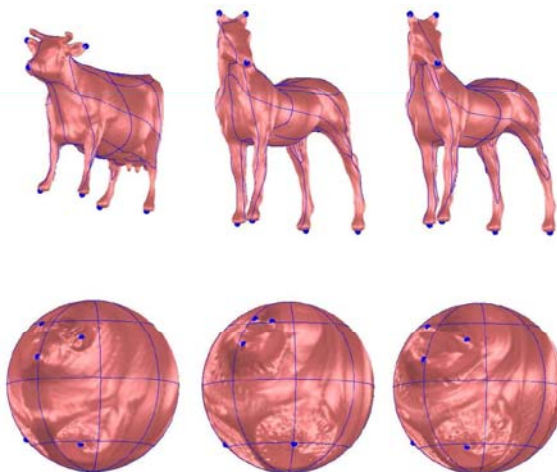
SHREC



- Naive solution
 - Recalculate the SPHARM coefficients using the rotated parameterization.
 - Solving three linear systems is time-consuming
- Fast method
 - Use a rotational property
 - Rotate SPHARM coefficients directly
 - Without recalculating the SPHARM expansion.

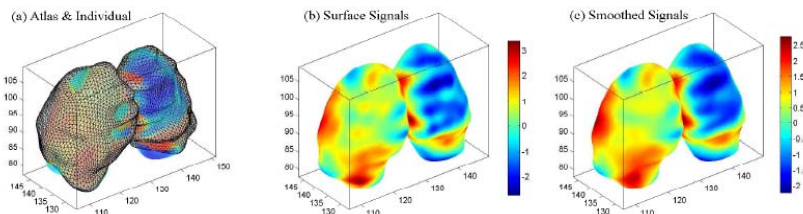
Landmark Guided Registration

- Preserve homological properties
- Employ spherical thin plate spline
- Optimize landmark placement on sphere

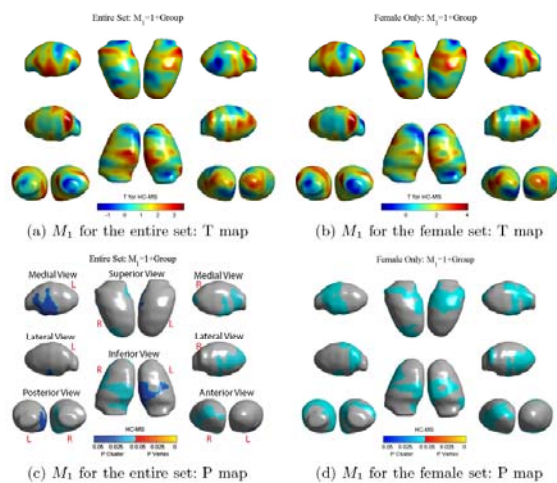


(4) Statistical Shape Analysis

- Thalamic shape in MS
 - MS (n=25) vs HC (n=12)
- Atlas generation and surface signal extraction



Linear Model using SurfStat



Summary

- SPHARM modeling
 - Spherical parameterization: CALD
 - SPHARM expansion: IRF
 - SPHARM registration: SHREC, STPS
- Statistical shape analysis
 - Interface with SurfStat

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